

10/574868

IP9 Rec'd PCH/PTO 06 APR 2006

Case No. 02AG49153548

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

In re Application of: **ALBERICI**  
Serial No.: **Not yet assigned**  
Filed: **Herewith**  
For: **ELECTRON SPECTROSCOPE WITH EMISSION INDUCED  
BY A MONOCHROMATIC ELECTRON BEAM**  
Sir:

Transmitted herewith is an INFORMATION DISCLOSURE STATEMENT in the  
above-identified application.

1. ☒ This IDS is submitted under 37 C.F.R. § 1.97. No fee is required.
2. ☐ This IDS is submitted under 37 C.F.R. § 1.97(c). Enclosed is a check in the  
amount of \$ 180.00.
3. ☐ This IDS is submitted under 37 C.F.R. § 1.97(c) and (e). No fee is required.
4. ☐ This IDS is submitted under 37 C.F.R. § 1.97(d) and (e). Enclosed is a check  
in the amount of \$ 130.00 to cover the petition fee.
5. ☒ The Commissioner is hereby authorized to charge or credit any discrepancies  
in fee amounts to Deposit Account No. 01-0484.
6. ☒ Please associate this application with Customer No. **27975**.

Date: April 6, 2006

  
\_\_\_\_\_  
MICHAEL W. TAYLOR  
Reg. No. 43,182

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
**ALBERICI**

Serial No. **Not yet assigned**

Filing Date: **Herewith**

For: **ELECTRON SPECTROSCOPE WITH  
EMISSION INDUCED BY A  
MONOCHROMATIC ELECTRON BEAM**

) I HEREBY CERTIFY THIS PAPER OR FEE IS BEING  
) DEPOSITED WITH THE U.S. POSTAL SERVICE  
) "EXPRESS MAIL POST OFFICE TO ADDRESSEE"  
) SERVICE UNDER 37 CFR 1.10 ON THE DATE  
) INDICATED BELOW AND IS ADDRESSED TO:  
) COMMISSIONER FOR PATENTS, PO BOX 1450,  
) ALEXANDRIA, VA 22313-1450.  
) EXPRESS MAIL NO: EV322686703US  
) DATE OF DEPOSIT: April 6, 2006  
) NAME: Justin Goree  
) SIGNATURE: Justin Goree

CITATION UNDER 37 CFR §1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached is Form PTO-1449 listing several references for consideration in the examination of the above-identified application. In accordance with current USPTO procedures published 05 AUG 2003, in 1276 OG 55, copies of the U.S. patent documents cited in the form 1449A are not attached. The undersigned would be happy to provide copies of these references if requested. Copies of non-U.S. patent documents, if any, are attached. It is requested that these references be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR §1.97 and Section 609 of the MPEP.

Respectfully submitted,

Michael W. Taylor  
MICHAEL W. TAYLOR  
Reg. No. 43,182  
Allen, Dyer, Doppelt, Milbrath  
& Gilchrist, P.A.  
255 S. Orange Avenue, Suite 1401  
Post Office Box 3791  
Orlando, Florida 32802  
407/841-2330  
Attorney for Applicants

<b>SUBSTITUTE FORM PTO-1449A</b> <b>LIST OF PATENTS AND</b> <b>APPLICANT'S INFORMATION</b> <b>DISCLOSURE STATEMENT</b>	Atty Docket: Serial No.: Applicant: Filing Date: Group:	02AG49153548 Not Yet Assigned ALBERICI Herewith
---	---	--

**U.S. PATENT DOCUMENTS**

Examiner Initials	Document Number	Date	Name	Class	Sub Class	Filing Date
	AA	6,104,029	8/15/00	Coxon et al.	250	305
	AB	2001/0052744	12/20/01	Tsuno	313	364
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					

**FOREIGN PATENT DOCUMENTS**

Document Number	Date	Country	Class	Sub Class	Translation
	AJ				
	AK				
	AL				

**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

AM	Hayashi et al., Energy Loss Near-Edge Structure for Materials Containing Light Elements by Reflection Electron Energy Loss Spectroscopy, Applied Physics Letters, American Institute of Physics, New York, Vol. 66, No. 1, January 2, 1995, Pages 25-27
AN	Ertl et al., Low Energy Electrons and Surface Chemistry, 1985, VCH Verlagsgesellschaft MBH, Weinheim, Germany, Pages 6-25, 185-200, 285-291
AO	Mook et al., Electrostatic In-Line Monochromator for Schottky Field Emission Gun, Institute of Physics Conference Series, IOP Publishing, No. 153, 1997, Pages 81-84
AP	Browning et al., A Digital Scanning Auger Electron Microscope Incorporation a Concentric Hemispherical Analyser, Proceedings of the Royal Society of London, Series A, Mathematical and Physical Sciences, Royal Society of London, Vol. 357, No. 1689, 1977, Pages 213-230
AQ	Henrard et al., Electron-Energy-Loss Spectroscopy of Plasmon Excitations in Concentric-Shell Fullerenes, Physical Review B (Condensed Matter) APS Through AIP USA, Vol. 59, No. 8, February 15, 1999, Pages 5832-5836
AR	

<b>EXAMINER:</b>	<b>DATE CONSIDERED:</b>
------------------	-------------------------

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.